



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of: Jordan U. Gutterman Valsala Haridas

Serial No.: 09/992,556

Filed: November 16, 2001

For: INHIBITION OF NF-kB BY TRITERPENE COMPOSITIONS

Group Art Unit: 1645

Examiner: Unknown

Atty. Dkt. No.: CLFR:009US

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below:

20201, 011 110 1110

July 10, 2002

Date

Robert E. Hanson

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. 1.53(b)

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated December 14, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Jordan U. Gutterman and Valsala Haridas;
- (b) A Power of Attorney on behalf of Research Development Foundation;
- (c) Substitute Drawings, Figures 1 62 on 68 sheets;
- (d) Our check in the amount of \$750.00 to cover the basic filing fee (\$370.00 utility small entity); surcharge for late filing (\$65.00); additional claims fee (\$315.00);

(e) Our check in the amount of \$980.00 to cover the five month extension of time fee;

(f) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under

37 C.F.R. 1.53(b)-Filing Date Granted; and

(g) A Request for Extension of Time of five months to and including July 14, 2002.

Should such request be absent, consider this such a request and authorization to

withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

An Assignment to Research Development Foundation and a check for \$40.00 are being

filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R.

§§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an

overpayment be included herein, the Commissioner is authorized to deduct or credit said fees

from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10111753/REH.

Please date stamp and return the accompanying postcard to evidence receipt of these

documents.

Respectfully submitted,

Robert E. Hanson Reg. No. 42,628

Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701

(512) 474-5201

Date:

July 10, 2002



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

ATTORNEY DOCKET NUMBER APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT CLFR:009US

09/992,556 11/16/2001 Jordan U. Gutterman

Date Mailed: 12/14/2001

CONFIRMATION NO. 5224

FORMALITIES LETTER

OC000000007198757

FULBRIGHT & JAWORSKI L.L.P. A REGISTERED LIMITED LIABILITY PARTILE **SUITE 2400** 600 CONGRESS AVENUE AUSTIN, TX 78701

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/17/2002 BABRAHA1 00000077 09992556

FILED UNDER 37 CFR 1.53(b)

370.00 OP 01 FC:201 65.00 OP 02 FC:205 03 FC:203 315.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$455.
 - \$315 for 35 total claims over 20.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$890.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets

must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see $37\ CFR\ 1.84(e)$);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE